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PTO/SB/24 (10-01)

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**EXPRESS ABANDONMENT UNDER 37 CFR 1.138**Docket Number (Optional)  
12818ROUS03I

To:

Assistant Commissioner for Patents  
Washington, DC 20231

In re Application of

Ma, Jiang lei et al.

Application Number  
09/819,957

Filed 28 March 2001

For Preamble Design for Multiple Input-Multiple Output (MIMO),  
Orthogonal Frequency Division Multiplexing (OFDM) System

Art Unit 2661

Examiner

Please check only one of boxes 1-3 below:

(If no box is checked, this paper will be treated as a request for express abandonment as of the filing date of this paper.)

1. ☐ **Express Abandonment**  
I request that the above-identified application be expressly abandoned as of the filing date of this paper.
2. ☐ **Express Abandonment in Favor of a Continuing Application**  
I request that the above-identified application be expressly abandoned as of the filing date accorded the continuing application filed herewith.
3. ☒ **Petition for Express Abandonment to Avoid Publication under 37 CFR 1.138(c)**  
I hereby petition to expressly abandon the above-identified application to avoid publication.

**NOTE:** The application will be published in regular course unless the petition is recognized by the Pre-Grant Publication Division in sufficient time to avoid publication (e.g., more than four (4) weeks prior to the projected publication date).

**Petition Fee** - must be filed with petition to avoid delays in recognizing the petition.

- a. ☒ The Commissioner is hereby authorized to charge the petition fee under 37 CFR 1.17(h) to Deposit Account No. 14-1315.

- b. ☐ Check in the amount of \$\_\_\_\_\_ is enclosed.

- c. ☐ Payment by credit card (Form PTO-2038 is enclosed).

Fax the petition directly to the Pre-Grant Publication Division at (703) 305-8568 or Mail the petition to the above address directed to **Box PGPUB-ABD**.

**NOTE:** A paper requesting express abandonment of an application is not effective unless and until an appropriate USPTO official recognizes the paper. See MPEP 711.01. In addition, the paper will not stop publication of the application unless a petition under 37 CFR 1.138(c) is recognized by the Pre-Grant Publication Division in sufficient time to avoid publication.

- I am the: ☐ applicant.
- ☒ assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)
- ☐ attorney or agent of record.
- ☐ attorney or agent acting under 37 CFR 1.34(a) (may act only if box 2 above, stating that the application is expressly abandoned in favor of a continuing application, is checked). Attorney or agent registration number if acting under 37 CFR 1.34(a), \_\_\_\_\_.

(Attorney or agent registration number)



Signature

Dennis R. Haszko, IP Law Counsel, Nortel  
Networks Limited (Reg. 39 575)

Typed or printed name

05 APR 2002

Date

NOT OK FOR ABANDONMENT

APR 11 2002

PG PUB DIVIS

INITIALS J L

\* Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

\* ☐ Total of \_\_\_\_\_ forms are submitted.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Nortel Networks Limited

Application No./Patent No.: 09/819,957 Filed/Issue Date: 28 March 2001

Preamble Design for Multiple Input-Multiple Output (MIMO), Orthogonal Frequency Division  
Entitled Multiplexing (OFDM) System

Nortel Networks Limited, a Corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. [x] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Jianglei Ma et al. To: Nortel Networks Limited  
The document was recorded in the United States Patent and Trademark Office at  
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[ ] Additional documents in the chain of title are listed on a supplemental sheet.

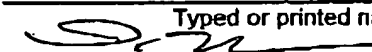
- [ ] Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

05 APR 2002  
Date

Dennis R. Haszko, Reg no. 39,575

Typed or printed name



Signature

Intellectual Property Counsel

Title

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1. Petition for Express Abandonment to Avoid Publication on application serial # 09/819,957
2. Statement under 37 CFR 3.73(b) for the above-noted application

3 pages transmitted

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